

Redox states of uranium s

in samples of microlite and monazite

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ABSTRACT

By applying the method of chemical shifts of the uranium $L\alpha_1$ and $L\beta_1$ X-ray emission lines, the oxidation state of U has been determined in select samples of microlite and monazite. From the relative contents of U^{4+} , U^{5+} and U^{6+} species, the oxygen coefficients have been calculated as a characteristic of U-oxidation rate. It is shown that the oxidation state of U is higher in the studied microlite than in monazite. Possible mechanisms of U oxidation in these two types of minerals are discussed, and it appears that the crystal structure of monazite plays an important role in stabilizing the U redox state. Spontaneous purification of the monazite structure from alien atoms, including U, may be possible via recrystallization of the alpha-recoil tracks. An explanation is suggested for intensive U oxidation in microlite and in other minerals of the pyrochlore group.

21 **Keywords:** microlite, monazite, oxidation states of uranium, chemical shifts of X-ray lines,
22 metamict state, spontaneous recrystallization.
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INTRODUCTION

25 Uranium- and Th-containing minerals are regarded as natural analogues of matrices to be used
26 for the immobilization of actinides. Among the phases that have been studied in this respect, the
27 most common ones are titanates, Ti-Ta-niobates, phosphates, silicates, and silico-phosphates of
28 groups II, XIII and XIV in the Periodic Table of the Elements. In particular, crystalline
29 pyrochlore ceramics are since several decades at the center of attention of researchers as possible
30 waste forms for the immobilization of excess weapons-grade plutonium and other actinides
31 (Lumpkin et al., 1986, 1999, 2014; Lumpkin and Ewing, 1988; Buck et al., 1999; Icenhower et
32 al., 2000; Gieré et al., 2000, 2002; Lumpkin, 2001; Stefanovsky et al., 2004; Zhang et al., 2013).
33 One of the most important goals of such research is to try to forecast the long-term behavior of
34 these ceramic waste forms under conditions of an underground repository. Most of the minerals
35 mentioned above would be rendered metamict as a result of the gradual accumulation of alpha-
36 recoil collision cascades, as documented by numerous X-ray and electron diffraction studies (see
37 e.g., Lumpkin et al., 2014). These and other investigations provided a vast amount of data on the
38 structure of metamict minerals, i.e., on dislocations in, and damages to, the atomic arrangement
39 within the solid. At the same time, however, not enough data are available on the electronic
40 disorder observed in metamict minerals (e.g., Hawthorne et al., 1991; Salje et al., 2011). This
41 discrepancy, thus, results in a relative lack in knowledge of the relationship between the
42 chemical composition and the physico-chemical, colloid-chemical, nuclear-chemical and
43 radiation-chemical processes occurring in complex compositions of amorphous and crystalline
44 materials.

45 Possible approaches to studying this specific aspect of solid-state chemistry include the
46 examination of chemical and redox states of polyvalent elements present in these complex

47 natural systems as well as the investigation of how these states may be modified by external
48 processes. In addition to providing important fundamental knowledge, studies of *d*- and *f*-
49 elements in minerals as well as reconstructions of the physical and chemical processes that
50 occurred throughout the geological history of these minerals could help in predicting the
51 behavior of host phases for nuclear waste in deep geological formations. Important data on the
52 state of U, Pb and Fe in various minerals were published, for example, by Zhang et al. (2002,
53 2003), Kramers et al. (2009), and Salje et al. (2011).

54 The main goal of the present work is to report data for the oxidation state of uranium in
55 select specimens of microlite and monazite, both minerals that are considered to be natural
56 analogues of host phases for the immobilization of actinides in high-level waste (HLW). In
57 addition, this paper explores possible explanations for the different results obtained for monazite
58 and microlite.

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MATERIALS AND METHODS

62 **Sample description**

63 Microlite belongs to the pyrochlore-supergroup minerals, which crystallize in the isometric
64 crystal system (space group $Fd\bar{3}m$ or its subgroups; $a \approx 10.4 \text{ \AA}$; $Z = 8$) and conform to the
65 general formula $A_{2-m}B_2X_{6-w}Y_{1-n}$. In this formula, *A* and *B* represent 8- and 6-coordinated cation
66 sites, respectively and *X* and *Y* are 4-coordinated anion sites (e.g., Atencio et al., 2010). The
67 general microlite formula is $(Ca,Na)_2Ta_2O_6(O,OH,F)$.

68 The studied microlite originates from a Li-rich pegmatite in the Mutala area, Alto Ligonha,
69 Mozambique (Gieré et al., 2000). The sample investigated here is a piece of a large crystal and
70 its two smaller fragments, which were first examined visually with the aid of a binocular (10x60)
71 microscope. There are few small particles (0.2 – 1 mm) formed on the surface of the broken-up
72 crystal. The microlite crystals are slightly unusual, as they are evidently not octahedral, but
73 rather show some dodecahedron faces. Overall, they exhibit a yellowish-grey color, but the outer
74 layers of the large fragment are dull brown, probably because of the strong chemical zoning
75 observed in microlite from this locality (Gieré et al., 2000). Along cracks and parallel to the
76 crystal faces of microlite, one can observe light-colored mica (muscovite or lepidolite), which
77 possibly resulted through epitactic growth. The content of mica in the studied sample is only 1-2
78 vol.%. Along the cracks (but not on the surface), inclusions of a fine-grained, soft aggregate of
79 white or light cream color can be seen (sericite or kaolinite). The content of these inclusions is
80 also small (< 2 vol.%). In addition, microlite also hosts minute quantities of very small grains of
81 a dark brown mineral with an oblong shape, most likely bismutite, a mineral identified as
82 inclusions in microlite from this locality (Gieré et al., 2001).

83 The overall U content in the studied microlite sample is 1.3 ± 0.1 wt.%, as determined by the
84 method of chemical shifts of X-ray emission lines (see below). The sample exhibits a low level
85 of radioactivity (23-25 $\mu\text{R/h}$), as determined with an SPR radiometer. For comparison, the
86 natural background in our laboratory in St. Petersburg is 18-20 $\mu\text{R/h}$.

87

88 To carry out the instrumental X-ray studies, the following procedure of sample preparation was
89 performed: First, the sample was crushed and the resulting powder was separated into two
90 granulometric fractions: *microlite 1* (<0.25 mm) and *microlite 2* (0.25-0.5 mm). Both fractions

91 were checked for the presence of magnetic components, which however, were present only in
92 minute quantities. After removal of these magnetic components, the non-magnetic fraction was
93 purified in a heavy liquid (bromoform), yielding only a very small quantity of the light fraction.
94 However, some mica and other impurities contained in microlite were entrained into the heavy
95 mineral concentrate, but their amount was < 5% of the total sample mass.

96

97 Two monazite samples were also investigated in order to be able to compare with the data
98 obtained for microlite. Monazite (monoclinic) ideally has the composition $LnPO_4$, with $Ln = La,$
99 $Ce, Pr,$ and $Nd.$ It may contain smaller amounts of $Sm-Lu$ and $Y,$ and typically exhibits extensive
100 solid solution toward other end-members with $Ca, Th,$ and U on the Ln site. Monazite was
101 chosen as reference sample because it is considered to be a suitable host phase for the
102 immobilization of actinides (Lutze and Ewing, 1988; Meldrum et al., 1998; Volkov, 1999;
103 Orlova et al., 2006; Schlenz et al., 2013; Lumpkin et al., 2014).

104 The two samples of monazite studied here also originated from pegmatites: *monazite 1*
105 from a pegmatite in the Chupa area of northern Karelia, Russia; and *monazite 2* (with noticeable
106 veinlets of uranium dioxide) from a pegmatite in China. The contents of U and Th in *monazite 1*
107 are 0.4 ± 0.1 wt.% and 2.5 ± 0.1 wt.%, respectively. *Monazite 2* contains U in amounts that are
108 comparable with those in microlite, but it also hosts up to 8 wt.% $Th.$

109 To carry out the instrumental X-ray studies of the monazites, the same sample preparation
110 was performed as that used for microlite (see above).

111

112 **Determination of uranium redox states**

113 The U oxidation states in the minerals were studied by using the method of chemical shifts of the
114 $L\alpha_1$ and $L\beta_1$ X-ray emission lines (Bogdanov et al., 1999; Batrakov et al., 2000). Measurements
115 were carried out with a 2 m-long single-crystal spectrometer (originally designed by O. I.
116 Sumbaev) with Cochois focusing. The monochromator was a cylindrically curved ($r = 2000$
117 mm), 0.3 mm-thick quartz crystal. Fluorescence of the samples was excited by a combination of
118 Bremsstrahlung and characteristic radiation emitted by the silver anode of an X-ray tube ($I = 46$
119 mA, $U = 47$ kV) and recorded with a NaI(Tl) crystal-based scintillation detector.

120 Line intensities were measured at each point successively for all the samples by subjecting
121 them alternately to the primary beam. To compensate for the aberration shift due to the
122 geometrically non-equivalent location of the samples, the chemical shifts δ of the $L\alpha_1$ and $L\beta_1$ X-
123 ray emission lines of uranium ($\delta U-L\alpha_1$ and $\delta U-L\beta_1$) were measured at two equivalent positions
124 of the detector and monochromator relative to the incident beam. The chemical shifts thus
125 measured were averaged. Line profiles were approximated by Gaussian-Lorentzian functions,
126 and their shift δ was determined from changes in the line position at the inflection point relative
127 to the corresponding values for the uranium line in $UO_{2.00}$.

128 The content of different U species was calculated according to the procedures described in
129 detail by Bogdanov et al. (1999) and Batrakov et al. (2000). Briefly, these calculations are
130 performed on the basis of the two-dimensional $\delta U-L\alpha_1$ vs. $\delta U-L\beta_1$ correlation diagram shown in
131 Fig. 1, where

$$132 \quad U(VI) = \frac{d_3}{d_3 + d_4}; \quad (1)$$

$$133 \quad (2)$$

134
$$U(V) = \frac{d_1}{d_1 + d_2} \times \frac{d_4}{d_3 + d_4};$$

135

136
$$U(IV) = \frac{d_2}{d_1 + d_2} \times \frac{d_4}{d_3 + d_4}. \quad (3)$$

137

138 Segments d_1 to d_4 are determined from the numerical values of Z and Y in the coordinate system
139 used ($Z = \delta U - L\alpha_1$; $Y = \delta U - L\beta_1$) and from the coordinates of the corners of the triangle shown in

140 Fig. 1:

141
$$d_1 = [(Z_4 - Z_1)^2 + (Y_4 - Y_1)^2]^{1/2}; \quad (4)$$

142
$$d_2 = [(Z_2 - Z_4)^2 + (Y_2 - Y_4)^2]^{1/2}; \quad (5)$$

143
$$d_3 = [(Z_4 - Z_0)^2 + (Y_4 - Y_0)^2]^{1/2}; \quad (6)$$

144
$$d_4 = [(Z_3 - Z_0)^2 + (Y_3 - Y_0)^2]^{1/2}, \quad (7)$$

145 where: (Z_0, Y_0) are the coordinates of the studied substance (filled square); (Z_1, Y_1) , (Z_2, Y_2) , and
146 (Z_3, Y_3) are the coordinates of the three reference uranium oxides UO_2 , U_2O_5 , and UO_3 ,
147 respectively; (Z_4, Y_4) are the coordinates of the intersection point (open circle) between the lines
148 defined by $(Z_0, Y_0) - (Z_3, Y_3)$ and $(Z_1, Y_1) - (Z_2, Y_2)$, whereby Y_4 and Z_4 are determined as
149 follows:

150
$$Y_4 = \frac{72 \times (152Y_0 + 97Z_0)}{101 \times (97 - Y_0) + 72 \times (Z_0 + 152)}, \quad (8)$$

151

152
$$Z_4 = \frac{101 \times (152Y_0 + 97Z_0)}{101 \times (97 - Y_0) + 72 \times (Z_0 + 152)}. \quad (9)$$

153

154 If the contents of U^{4+} , U^{5+} and U^{6+} are known, the overall *oxygen coefficient* of uranium ($2+X$) in
155 a sample can be calculated from the following relation:

$$156 \quad (2+X) = U^{4+} \times 2.0 + U^{5+} \times 2.5 + U^{6+} \times 3.0. \quad (10)$$

157 The oxygen coefficient provides a measure of the tendency of U to be oxidized in the mineral.

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RESULTS AND DISCUSSION

161 Results of our X-ray spectral investigation of microlite and monazite are presented in Table 1,
162 which in addition to the chemical shifts δ in the $U-L\alpha_1$ and $U-L\beta_1$ lines also lists the magnetic
163 broadening ($\Delta\Gamma$) of these lines relative to UO_2 . The line broadening is related to the effect of
164 interatomic redistribution of the spin density on the split $5f_+$ and $5f_-$ levels of uranium, and it
165 provides information on a spin constituent of the chemical shift (Krivitsky et al., 2004). Table 1
166 further displays the values of overall oxygen coefficients calculated according to equation (10)
167 from the relative content of U species.

168 As expected, the overall oxygen coefficients in both samples of microlite are very similar,
169 being practically identical within experimental error (see δ values in Table 1). The slightly
170 higher U^{6+} content in *microlite 1* (< 0.25 mm granulometric fraction) compared to *microlite 2*
171 (0.25-0.5 mm) might be explained by oxidation in the atmosphere of some of the U^{5+} in the
172 finest particles. Despite the relatively low U content in the studied microlite as compared with
173 other minerals of the pyrochlore supergroup, a substantial oxidation of U is observed:
174 approximately 2/3 of the initial U^{4+} have been converted into pentavalent and hexavalent states.

175 In contrast, only ~26% of the initial U^{4+} have been oxidized in the studied monazite samples
176 (Table 1).

177

178 **Do the oxygen coefficients correlate with geological age?**

179 The age of *monazite 1* is between 1.76 and 1.93 Ga (Avdzeyko, 1955; Tugarinov et al., 1974).
180 Using this age and the half-life of ^{238}U (4.468 Ga), the fraction of decayed ^{238}U is calculated at
181 0.239-0.259. The analytical results for the different redox species of U in this monazite (Table 1)
182 allow for calculation of the oxygen coefficient according to equation (10), yielding a value of
183 2.244. This value corresponds to an *oxygen index* (X) of 0.244, which means that the oxygen
184 coefficient of U increased by X from its initial value of 2.000 (all U assumed to be U^{4+}
185 originally). The age of *monazite 2* is 1.00 ± 0.05 Ga (A.S. Sergeev, personal communication),
186 yielding a fraction of decayed ^{238}U of 0.137-0.150; the oxygen coefficient calculated from the
187 redox data given in Table 1 is 2.149, i.e. $X = 0.149$. Thus, as a first approximation, the values of
188 X in both monazite samples are very similar to those of the fraction of decayed ^{238}U .

189 The age of the studied microlite is approximately 440 my (chemical age determination; see
190 Gieré et al., 2000), which yields a value of 0.066 for the fraction of decayed ^{238}U . With the redox
191 data obtained for the two samples (Table 1), oxygen coefficients of 2.570 (microlite 1) and 2.550
192 (microlite 2) can be calculated. The associated values for X (0.570 and 0.550, respectively) are,
193 thus, considerably higher than the fraction of decayed ^{238}U .

194 Therefore, our data suggest that the mechanisms and efficiency of U oxidation in monazite
195 and microlite are substantially different, whereby the U-oxidation *rate* in microlite appears to be
196 higher than in the two monazites.

197

198 Let us try to understand the reason for these differences. The fact that the value of X in our
199 monazite samples is close to the fraction of decayed ^{238}U may be interpreted to indicate that self-
200 oxidation of U in monazite is mainly the result of the following, combined nuclear-chemical
201 mechanism:



203 This mechanism would lead to oxidation of one U^{4+} atom for every single ^{238}U that decays to
204 ^{206}Pb . In microlite, however, it appears, that apart from the effect of oxygen, several additional
205 radiation- and chemistry-related factors influence the redox state of U atoms. These additional
206 mechanisms are not realized, or not sufficiently effective, in the monazite samples studied here.

207

208 **Evidence for low efficiency of radiation mechanisms in monazite**

209 By using the chemical shifts method, Bogdanov et al. (2002b) investigated the oxidation state of
210 Ce in four monazites of different origin and age, as well as in the complex minerals aeschynite
211 $[(\text{Y,Ca,Fe,Th})(\text{Ti,Nb})_2(\text{O,OH})_6]$ and britholite $[\text{Ca}_2(\text{Ce,Ca})_3(\text{SiO}_4,\text{PO}_4)_3(\text{OH,F})]$. In three of the
212 monazites (samples #8, 9, 11), cerium was found to have remained in its initial trivalent state,
213 whereas in the fourth monazite (sample #10), Ce^{4+} was present, but its content did not exceed 1-2
214 % of the total Ce. On the contrary, in aeschynite and, especially, in the two studied britholite
215 samples, up to 35% of the Ce was present as Ce^{4+} . It is important to note here that upon heating,
216 the Ce^{4+} fraction in britholite decreased, whereas in aeschynite the Ce^{4+} disappeared completely
217 (Bogdanov et al., 2002b). Thus, in contrast to the silico-phosphate britholite and the Ti-Ta-

218 niobate aeschynite, cerium in monazite appears to be more resistant to oxidation through self-
219 irradiation, thus preserving its initial trivalent oxidation state.

220 Therefore, radiation should undoubtedly be considered an effective agent for this
221 oxidation, in addition to the self-oxidation mechanism described by reaction (11). It can cause
222 both ionization of Ce and destruction of the crystalline structure of most radioactive minerals.
223 However, ionization is an indispensable, though not always sufficient, condition for the
224 oxidation of Ce. The point is that, upon oxidation of a Ce^{3+} ion, the second *f*-electron is involved
225 in the formation of a chemical bond, which results in a change of spatial orientation of the
226 chemical bonds of Ce. However, it is only the Ce^{4+} phosphate $Me(II)_{0.5}Ce_2(PO_4)_3$ (Orlova et al.,
227 2006) that is isostructural with monazite, and this phase does not correspond to the composition
228 of the initial monazite, its formation through recrystallization of damaged crystal zones in recoil
229 tracks being impossible. In other words, the involvement of the second *f*-electron of Ce is not
230 compatible with the geometry of the monazite crystal structure. The new steric orientation of
231 chemical bonds caused by Ce^{4+} can be stable only in the new amorphized structure of britholite
232 and aeschynite.

233

234 Using infrared spectroscopy, Zhang et al. (2002, 2003) identified a complex behavior of
235 the various redox forms of U in metamict and annealed zircon: they have shown that U ions in
236 metamict zircon can be assigned to two categories with different structural environments: 1) U
237 ions in the remaining crystalline regions ($U^{4+}_{crystal}$ and $U^{5+}_{crystal}$); and 2) U ions in the amorphous
238 regions ($U_{amorphous}$, mainly tetravalent). They also reported that the ratio of $U^{4+}_{crystal}/U^{5+}_{crystal}$ in
239 the crystalline domains increased with increasing metamictization. These observations led the
240 authors to conclude that the tetravalent state is the preferable oxidation state of U in radiation-

241 damaged zircon. The increase in $U^{4+}_{\text{crystal}}/U^{5+}_{\text{crystal}}$ with increasing metamictization can be
242 explained as due to trapping of electrons by the U^{5+} ions. Heating released the trapped electron,
243 which thus decreased $U^{4+}_{\text{crystal}}/U^{5+}_{\text{crystal}}$. The increase in $U^{4+}_{\text{crystal}}/U^{5+}_{\text{crystal}}$ with increasing
244 metamictization could also result from a radiation-induced change of local structures, which
245 could lead to modifications of the local configurations associated with Zr^{4+} and Si^{4+} sites (Zhang
246 et al., 2002; 2003).

247 It is reasonable to assume a certain relationship between the oxidation state of an atom and
248 the symmetry of its nearest polyhedron. The work of Salje et al. (2011), however, does not
249 confirm such an assumption. Using ^{57}Fe Mössbauer spectroscopy, these authors found that iron
250 in metamict titanite is partitioned between amorphous and crystalline regions according to its
251 valence: Fe^{3+} exists in the crystalline titanite matrix, whereas Fe^{2+} occurs almost exclusively in
252 regions that are amorphous due to radiation damage. Both valence forms are located in the Ti
253 positions. The Mössbauer spectra for both oxidation states of Fe are well defined, resembling
254 those found in a fully crystalline matrix. The authors concluded that the local structure of the
255 amorphized regions exhibits a high degree of short-range order, and that the local environment of
256 Fe (and hence Ti) is similar to that found in the crystalline titanite structure. If this is indeed
257 correct, it remains to be explained why Fe^{2+} is stabilized in amorphized zones.

258

259 **Do U^{5+} and U^{6+} exist in the monazite structure?**

260 Since monazite retains its crystal structure under the effects of radiation, the possibility of
261 uranium atoms existing in monazite in the U^{5+} and U^{6+} states needs to be discussed. According to
262 Batrakov et al. (2004) and Krivitsky et al. (2004), the transition from UO_2 to $\text{UO}_{2.5}$ involves 0.18
263 of the electron density of a $5f$ -electron and 0.02 of that of a $6d$ -electron in the chemical bond.

264 Upon oxidation of UO_2 to UO_3 , the fractions of the $5f$ - and $6d$ -electrons increase to 0.25 and
265 0.28, respectively. Moreover, no less than 1.10 of the electron density of the $5f$ -electron
266 participates in the interatomic redistribution of electron and spin density on the split $5f_+$ and $5f_-$
267 levels of U when its valence increases from +4 to +6. Changes in the nature and spatial
268 orientation of chemical bonding violate conditions of even a limited isomorphism of U^{4+} in the
269 crystal structure of monazite. As a consequence, upon recrystallization of amorphized regions
270 formed by recoil atoms, the crystal structure of monazite may reject those U atoms whose radial
271 distribution of the electron density or spatial orientation of hybrid orbitals do not suit the
272 geometry of the surrounding lattice.

273 This process is analogous to the purification of substances by the method of zone
274 recrystallization and is readily observed in polymorphic phase transformations (first- and second-
275 order phase transitions). The behavior of radiogenic $^{113\text{m}}\text{In}$ atoms in metallic Sn may serve as an
276 example (Alekseev et al., 1998a): upon transition from the tetragonal to the rhombic
277 modification of Sn at 200 °C, radioactive atoms of In from the bulk of a Sn foil move up to its
278 surface. In addition, up to 20% of the In atoms are found in the gaseous phase, which does not
279 contain any Sn atoms. An analogous ejection of foreign atoms upon polymorphic transformation
280 was observed in Mo and W (Alekseev et al., 1998b, 2002), as well as in a number of other
281 substances.

282 It is most probable that the U atoms, which were rejected by the crystal structure of
283 monazite, could diffuse to other sites, where they accumulate and form oxide phases of their
284 own, e.g. in pores, cracks, along grain boundaries, or in the vicinity of dislocations. Such
285 diffusion can be intensified by radiation, in particular, by the energy dissipation of recoil atoms
286 during elastic tangential collisions with other atoms. High mobility of Pb, Th, and U atoms as

287 well as the possibility of their removal from monazite upon exposure to low temperatures have
288 been repeatedly observed in monazites, and this mobility is greater than the one corresponding to
289 the volume diffusion coefficients of these elements (Cherniak and Pyle, 2008; Olander and Eyal,
290 1990). Seydoux-Guillaume et al. (2012) underlined the important role of radiation damage
291 effects, in particular swelling-induced fracturing, and the essential role of porosity and cracks in
292 the migration processes within monazites.

293 It has been noted repeatedly that U-rich zones occurring in various minerals are also
294 enriched in accessory minerals. For example, microradiography revealed that U is evenly
295 distributed in optically transparent and pure zircon crystals, whereas high U concentrations
296 occurred locally, e.g. along cracks and in roiled and less transparent parts of the mineral, which
297 would suggest that part of the U has been segregated into micro-inclusions of accessory U
298 minerals (Komarov, 1977; Komarov et al., 1980, 1985; Tsimbal et al., 1986). These conclusions
299 may be applied to monazites as well: the presence of uraninite inclusions in the two monazite
300 samples studied here has indeed been proven, i.e., by using X-ray diffraction for monazite 1
301 (sample from Karelia), and by detailed optical microscopy combined with immersion liquids for
302 monazite 2 (sample from China). Similarly, the association of uraninite and monazite has been
303 reported previously from other occurrences (e.g., Bogdanov et al., 1999; Bogdanov et al.,
304 2002b).

305 Undoubtedly, the primary separation mechanism of isomorphic and non-isomorphic U
306 species in minerals is connected with the primary crystallization from a melt or fluid and with the
307 accessory-mineral growth. Uranium, the content of which exceeds the isomorphic capacity of the
308 mineral under particular physical and chemical conditions, is rejected by the front of the growing
309 crystal and is trapped by it later as U-rich micro-inclusions (Vorobiev, 1990). Micro-crystals of

310 uraninite in monazite, however, might also be formed upon cooling through exsolution from an
311 originally U-rich monazite. These mechanisms, however, are most probably not the only ones
312 possible, as indicated by various investigations (e.g., Olander and Eyal, 1990; Seydoux-
313 Guillaume et al., 2012). Komarov (1977) suggested, for example, that redistribution of U in the
314 mineral association occurred after the formation of crystals by a partial recrystallization of U-
315 containing minerals. We propose that post-crystallization alteration, especially the
316 recrystallization of the amorphized substance in recoil tracks, is another very likely mechanism
317 that leads to the rejection of U from the monazite structure upon recrystallization. This process
318 would lead to the decomposition of single-phase mineral structures and could take place during
319 long-term storage of actinide host phases. Similar post-crystallization alteration features have
320 also been reported for metamict microlite, e.g., from Mozambique (Gieré et al., 2001) and from
321 the Kola Peninsula (Kudryashov et al., 2015).

322 An alternative or, perhaps, complementary mechanism of preserving U^{4+} in the structure of
323 monazite could be radiation-and-chemical reduction of U, i.e., electron capturing by penta- and
324 hexavalent U atoms. It must be assumed that the relatively rapid recrystallization within the
325 recoil tracks normalizes the process of electron transfer in the conduction band of the mineral.
326 The consequence of this is neutralization of the excess charge of uranium atoms, like the
327 reduction process $Ce^{4+} \rightarrow Ce^{3+}$. The same mechanism may be effective for the reduction of other
328 polyvalent impurities in monazite.

329

330 **Incorporation of U^{4+} in monazite during recrystallization of recoil tracks?**

331 The next issue to be discussed is whether or not the crystal structure of monazite could assimilate
332 those tetravalent U atoms, which happened to lie within the recoil track at the moment of

333 recrystallization of the damaged structure. The answer does not seem evident: upon formation of
334 primary accessory minerals in pegmatite dikes, U^{4+} atoms are incorporated at high temperatures
335 (e.g., via heterovalent compensation isomorphism), when the difference in ionic radii is leveled
336 by the large amplitude of atom oscillation. Based on the radiochemical data available, however,
337 it can be assumed that some of the U^{4+} is incorporated into the structure of monazite even at low
338 temperature, during recrystallization of amorphous parts.

339 The effect of assimilation is revealed upon the incongruent dissolution of monazites, as
340 well as of xenotime, in solutions of strong hydrochloric acid. Results of the action of 6 molar
341 HCl solutions (with and without reducing agents added) on these minerals (Avenirova et al.
342 1992) are given in Table 2. The data show that the radioactivity of ^{238}U in the leachates is higher
343 than that of ^{234}U , whereby the deficit of the radiogenic uranium is observed in both U^{4+} and U^{6+}
344 species. This result cannot be explained on the basis of a single-phase model for these samples.
345 Therefore, we conclude that the $^{234}Th(^{234}U)$ recoil atoms penetrate from the outer layer of the
346 phase, which is richer in U than the bulk monazite (i.e., from the UO_{2+x} phase present in the
347 mineral association), into the structure of monazite (or xenotime), where they are fixed upon
348 spontaneous recrystallization within the recoil track. Here we come across a variant of the effect
349 observed by Chalov (1975) and Shirvington (1983), namely that the leaching solutions are
350 enriched in the parent U isotope rather than the radiogenic isotope. On average, the fraction of U
351 going into solution is 10-20%, and is mainly tetravalent (Avenirova et al., 1992).

352 The explanation suggested here for the observed isotopic effects of the incongruent
353 dissolution of monazite seems to be the only one possible, but it leads to a paradoxical
354 conclusion: since a substantial part of U in the monazite and xenotime is present in the uranium
355 oxide phase, it means that all our arguments on the mechanism of U oxidation in monazites are

356 related not only to the original monazite, but equally to the uraninite. At the same time, this
357 conclusion is not surprising, since both uraninite and monazite share the property of preserving
358 their crystal structure, as the alpha-recoil tracks have relatively short life times ($1-4 \times 10^4$ y; Eyal
359 and Fleischer, 1985a, 1985b). The other common property of uraninite and monazite is the
360 tendency to eject foreign atoms, or atoms of different valence to the periphery of crystal grains.
361 Already more than thirty years ago, Dubinchuk and Sidorenko (1978) observed that the
362 radiogenic Pb in only slightly oxidized samples of uraninite was not only located as PbO on
363 intergrain surfaces, but that it also occurred as a distinct, metallic Pb phase, which was present as
364 either rounded aggregates or as tiny squares (0.2-0.5 μm across). These authors have further
365 described that in fairly strongly oxidized samples of uraninite, hexavalent U was rejected from
366 the structure, which led to the formation of lead uranate (PbUO_4).

367 It is quite understandable why Pb is not stabilized in the UO_2 structure: the laminated
368 tetragonal and chain-like rhombic crystal lattices of the red and yellow PbO do not match the
369 face-centered cubic fluorite UO_2 lattice. A mismatch of the symmetry of the chemical bonds and
370 the geometry of the surrounding lattice should be understood as a non-optimal spatial overlap of
371 electron clouds of the central atom and anions in the coordination polyhedron. It is the weak
372 chemical bond with the surrounding structure that apparently determines the effect of rejection of
373 Pb atoms from the regular lattice upon recrystallization of recoil tracks.

374 Thus, the apparent monazite is a combination of monazite and uraninite, which are both
375 resistant to radiation damage, and thus metamictization, and which mutually complement each
376 other for the balance of redox states to be accounted for.

377

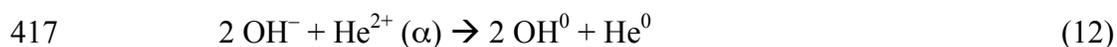
378 **Oxidation of U in microlite**

379 In contrast to monazite, microlite is subject to significant oxidation (see above), which results in
380 the fraction of oxidized U in the mineral exceeding the fraction that is typical for closed
381 uranium-oxide systems. Apparently, it concerns all Ti-Ta-niobates of the pyrochlore group, as
382 indicated by results from another study that applied the chemical shifts method (Bogdanov et al.,
383 2002a): a sample of U-rich pyrochlore from a granite pegmatite in the Vuori-yarvy Massif,
384 northwestern Karelia (Russia) contained 20% U^{4+} , 37% U^{5+} , and 43% U^{6+} . These values
385 correspond to an oxygen coefficient of 2.615. Since the age of this specimen is 1.74 Ga, the
386 fraction of decayed U is only 0.24 of its initial amount and, consequently, by analogy with
387 monazite, the corresponding oxygen coefficient should be equal to 2.24. In two other U-rich
388 pyrochlore samples, a 0.25 Ga old sample from quartz veins of alkaline pegmatites in the Urals
389 and a 0.52 Ga old specimen from metasomatites in the Chupa area of northern Karelia (Russia),
390 all U was found to be hexavalent, but it did not occur as oxide species, but rather as the uranyl
391 (UO_2^{2+}) species.

392 It may be concluded that there are at least two factors that determine the chemical and
393 redox states of U in these minerals: First, a low resistance of the corresponding structures
394 towards metamictization. Because oxidation is associated with a change of the nature and
395 symmetry of chemical bonds of atoms with their environment, this process most easily occurs in
396 metamict structures, where there are no crystallographic limitations for the new redox state to be
397 realized. Moreover, it concerns such species as uranyl ions, which are not capable of isomorphic
398 substitution of atoms in accessory minerals. An exception can be made for the cubic lattice of
399 uraninite, which remains unchanged for oxygen coefficient values up to 2.25. It is well known
400 that there is a sharp decrease in the U-oxide density when the oxygen coefficient increases to
401 values > 2.4 (Weigel, 1986). This is assumed to be caused by the appearance in the structure of

402 U oxide with uranyl-type bonds, the two short collinear U–O main bonds being formed in a
403 combination with 4-6 less strong bonds lying in the plane that is approximately perpendicular to
404 the two first bonds. Yet, the appearance of uranyl-type bonds does not imply the compulsory
405 formation of isolated uranyl groups. It is suggested, that the highest oxidation states of U (V, VI)
406 in uranium oxides, as well as in most of the known oxide compounds of actinides, are stabilized
407 owing to the formation of uranyl (actinyl)-type bonds, thereby preserving homogeneity of the
408 system. It should be noted that the accumulation of oxidized species of atoms in uraninite will
409 ultimately lead to the cubic lattice being transformed into the rhombic one. Hence, it is clear that
410 metamictization of the mineral that hosts U or other actinides does remove crystallographic
411 (steric) limitations for changes resulting from both radiation-chemical and nuclear-chemical
412 processes.

413 The second factor, which can dramatically influence the chemical state of U in minerals of
414 the pyrochlore group, is the presence of crystallization and constitutional water. This water, in
415 combination with the radiation process, can initiate a chain of oxidation–reduction reactions. For
416 example, the formation of hydronium in pyrochlore can be the result of the following processes:



420 There are other possible variants for radiation-chemical reactions in Ti-Ta-niobates: for example,
421 radiation creates traps to capture electrons and holes of various depths, which determine the
422 chemical state of atoms in the mineral.

423 In connection with this, the following problems are of interest: how pronounced is the
424 difference between the synthetic phase and its natural analogue? To what extent may these
425 differences be neglected or, vice versa, how can they be accounted for when analyzing results of
426 other studies? To what extent is the prognostic information correct in regard to the long-term
427 behavior of HLW hosts when studying natural analogues?

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IMPLICATIONS

431 Knowledge of the redox state of uranium is of interest for two reasons: firstly, it yields
432 information about the nuclear-chemical and radiation-chemical processes taking place in
433 radioactive minerals over geological timescales, and secondly, it provides a basis for the long-
434 term prediction of the chemical state of actinides in potential host phases for high-level nuclear
435 waste. Our study has shown that in the monazite samples, 74% of the U is still tetravalent, in
436 spite of their considerable geological age, whereas only ~33% of the U remains in its tetravalent
437 state in the much younger microlite. These results demonstrate that the mechanism of U
438 oxidation is very different for the two minerals: it is largely due to a nuclear-chemical process in
439 monazite (reaction 11), whereas in the more intensely oxidized microlite it is also due to a
440 radiation-chemical mechanism, which results from metamictization.

441 We also conclude that upon recrystallization of the amorphized regions in monazite, the
442 crystal structure rejects the penta- and hexavalent U atoms, which then form separate U oxide
443 phases. Such processes, therefore, can lead to a transformation of a monophasic host into a
444 multiphase assemblage with different properties (e.g. chemical durability). The similarity of
445 nuclear waste form materials with their naturally occurring analogues may thus be quite limited,

446 except if the chemical compositions of the mineral and the synthetic matrix material closely
447 coincide. For example, a study of fergusonite from a granite pegmatite showed the ability of the
448 mineral to retain U even in the completely metamict structure (Gieré et al., 2009). The authors
449 concluded that fergusonite could be a phase suitable to be included in ceramics designed for the
450 immobilization of HLW. This situation, however, demands further clarification, as illustrated by
451 the following example: during their study of pyrochlore-based ceramic waste forms, Strachan et
452 al. (2005) observed that despite amorphization of the material at high alpha-decay doses, there
453 was no cracking and no effect on the kinetics of ceramic dissolution. The authors concluded on
454 the basis of structural changes resulting from alpha-decay of incorporated ^{238}Pu that even though
455 pyrochlore is susceptible to radiation-induced damage, it remained chemically and physically
456 viable as a material for immobilizing surplus weapons-grade plutonium. In contrast to these
457 results, Bogdanov et al. (2002a) observed high uranium leach rates in their study of natural
458 pyrochlores; they ascribed this extensive leaching to the presence of all U in the uranyl form
459 $(\text{UO}_2)^{2-}$, which resulted from radiation-chemical reactions, presumably taking place in the
460 presence of an aqueous phase. This example, thus, demonstrates that analogies between a
461 mineral and its corresponding mineral-like phase in HLW waste forms, can only be established if
462 several conditions and processes are similar, e.g., chemical composition, self-irradiation and
463 aqueous alteration. Otherwise, the immobilization characteristics of HLW matrices determined in
464 the laboratory have, unfortunately, limited predictive character.

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ACKNOWLEDGMENTS

469 The authors are grateful to two anonymous reviewers and to Peter Burns and Keith Putirka for
470 their valuable suggestions and critical remarks, which have helped us in improving the
471 manuscript.

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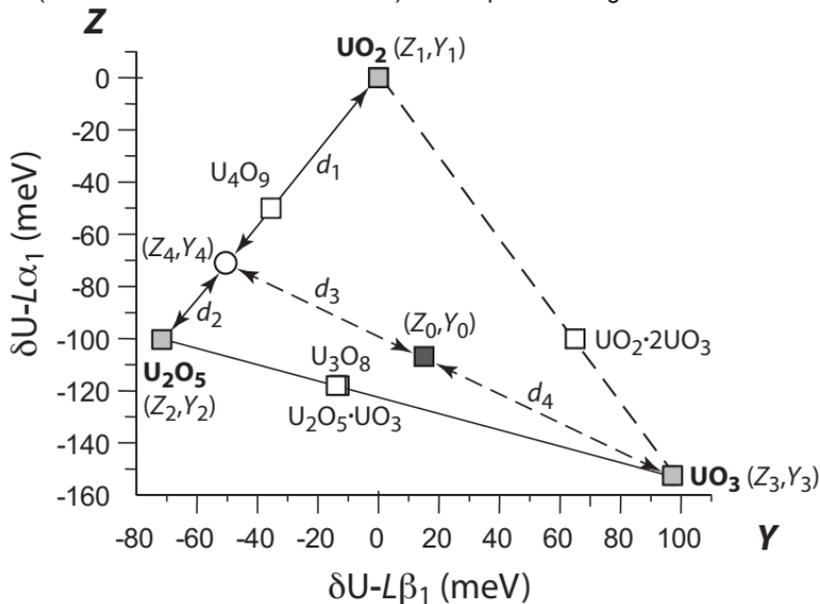


Figure 1. Correlation diagram of the chemical shifts δ for the $L\alpha_1$ and $L\beta_1$ X-ray emission lines of uranium in compounds of the composition UO_{2+X} ($X = 0 - 1$). See text for discussion.

Table 1. Redox forms of uranium in the studied minerals, as determined by the data obtained from the X-ray spectral studies

Sample	Chemical Shift δ (meV)		Relative line broadening $\Delta\Gamma$ (meV)		Relative content (%)			Oxygen coefficient in the oxide UO_{2+X}
	$\delta\text{U-L}\alpha_1$	$\delta\text{U-L}\beta_1$	$\Delta\Gamma\text{U-L}\alpha_1$	$\Delta\Gamma\text{U-L}\beta_1$	U^{4+}	U^{5+}	U^{6+}	
Microlite 1	-86±5	+34±7	+41±24	-176±48	34.2	17.6	48.2	$\text{UO}_{2.570}$
Microlite 2	-89±5	+22±6	+54±24	-157±46	32.0	26.0	42.0	$\text{UO}_{2.550}$
Monazite 1	-38±7	+19±14	+59±42	-670±81	73.7	3.8	22.5	$\text{UO}_{2.244}$
Monazite 2	-28±8	-12±12	-21±48	-478±61	74.2	21.9	3.9	$\text{UO}_{2.149}$

Table 2. Isotopic composition of uranium in hydrochloric acid leachates of monazite and xenotime

Mineral and composition of the solution	Activity Ratio (= $^{234}\text{U}/^{238}\text{U}$)			Content of $^{238}\text{U}^{4+}$ in leachates (%)
	(Bq/Bq)			
	U^{4+}	U^{6+}	ΣU	
Monazite #9 6 mol/L HCl + 0.6 mol/L $\text{C}_2\text{H}_8\text{O}_6$	0.94±0.02	0.81±0.04	0.92±0.03	90 ± 5
Monazite #10 6 mol/L HCl + 0.6 mol/L $\text{C}_2\text{H}_8\text{O}_6$	0.97±0.01	0.96 ±0.01	0.97 ±0.01	63 ± 12
Monazite #10 6 mol/L HCl + 0.6 mol/L $\text{NH}_2\text{OH} \cdot \text{HCl}$	0.97±0.01	0.97±0.01	0.97±0.01	—
Monazite #10 6 mol/L HCl (no reducing agent)	0.965±0.005	0.997±0.005	0.979±0.005	59 ± 12
Xenotime 6 mol/L HCl + 0.6 mol/L $\text{C}_2\text{H}_8\text{O}_6$	0.93±0.04	0.98±0.02	0.94±0.04	82 ± 8
Monazite (data from Chalov, 1975)	0.92±0.02	0.93±0.02	0.93±0.02	97