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2 **Are quasicrystals really so rare in the Universe?**

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12  
13 **ABSTRACT**

14 Until 2009, the only known quasicrystals were synthetic, formed in the  
15 laboratory under highly controlled conditions. Conceivably, the only  
16 quasicrystals in the Milky Way, perhaps even in the Universe, were the ones  
17 fabricated by humans, or so it seemed. Then came the report that a quasicrystal  
18 with icosahedral symmetry had been discovered inside a rock recovered from a  
19 remote stream in far eastern Russia, and later that the rock proved to be an  
20 extraterrestrial, a piece of a rare CV3 carbonaceous chondrite meteorite (known  
21 as Khatyrka) that formed 4.5 billion years ago in the presolar nebula. At present,  
22 the only known examples of natural quasicrystals are from the Khatyrka  
23 meteorite. Does that mean that quasicrystals must be extremely rare in the  
24 Universe? In this speculative essay, we present a number of reasons why the  
25 answer might be no. In fact, quasicrystals may prove to be among the most  
26 ubiquitous minerals found in the Universe.

27 **Keywords:** quasicrystals; meteorite; Khatyrka; Universe; Milky Way.

28 **INTRODUCTION**

29       The discovery of a synthetic alloy of aluminum and manganese with nearly  
30 point-like diffraction and axes of five-fold symmetry (Shechtman et al. 1984) and  
31 the proposal of the quasicrystal theory to explain it (Levine and Steinhardt 1984)  
32 shocked the worlds of crystallography and condensed matter physics. The laws  
33 of crystallography had been established since the nineteenth century; had  
34 played a historic role in establishing the atomic theory; had represented the first  
35 compelling example of the power of group theory to explain physical  
36 phenomena; and were viewed as completely settled science. Only a finite set of  
37 symmetries were possible for solids, according to the laws; five-fold, seven-fold,  
38 and higher-fold rotational symmetries were completely verboten. The  
39 quasicrystal theory not only revealed that these laws were overly restrictive, but  
40 that literally an infinite number of symmetry possibilities had been missed  
41 (Socolar et al. 1985).

42       The key realization was that the long-held assumption that any orderly  
43 arrangement of atoms or molecules must be periodic – is not true. The  
44 quasicrystal theory (Levine and Steinhardt 1984) considered an alternative  
45 known as *quasiperiodicity* in which the intervals between atoms are described  
46 by a sum of two or more periodic functions for which the ratio of periods is an  
47 irrational number. Quasiperiodicity in solids had been considered before 1984 in  
48 cases with the usual crystallographic symmetries (two-, three-, four- and six-fold  
49 symmetry axes). Solids of this type, known as *incommensurate crystals*, had  
50 been discovered in the laboratory and in nature (Bindi and Chapuis 2017). But  
51 what was missed before 1984 is that, by allowing for quasiperiodicity, it is

52 possible to have symmetries that had been thought to be forbidden. In fact, all  
53 constraints on rotational symmetry are lifted, including five-fold symmetry in  
54 two-dimensions and icosahedral symmetry in three dimensions. (The  
55 icosahedron is a three-dimensional Platonic solid with twenty identical faces in a  
56 configuration that includes six independent five-fold symmetry axes.)

57 The hypothetical rule-breaking forms of matter were dubbed *quasicrystals*,  
58 short for *quasiperiodic crystals*. The independent discovery by Shechtman et al.  
59 (1984) of a real synthetic alloy with apparent icosahedral symmetry and with a  
60 diffraction pattern similar to that predicted for icosahedral quasicrystals gave  
61 birth to a field that has since synthesized nearly two hundred other  
62 quasicrystalline forms of matter and identified distinctive physical properties that  
63 have led to numerous applications (e.g., Janot and Dubois 1988; Steurer 2018).  
64 The first examples were metastable phases formed by rapidly quenching a  
65 liquid mix of metals and were composed of grains spanning only a few microns.  
66 Nearly half the examples known today are stable phases with grain sizes  
67 ranging to centimeter scale. All these laboratory examples, though, were grown  
68 from specially chosen combinations of ingredients brought together under highly  
69 controlled conditions of temperature and pressure. These experiences  
70 suggested that quasicrystals only occur through human intervention.

71 The story changed in 2009 with the discovery of a quasicrystal grain with  
72 icosahedral symmetry embedded in a rock sample found in the Museo di Storia  
73 Naturale of the Università degli Studi di Firenze (Italy) identified as coming from  
74 the Khatyrka ultramafic zone in the Koryak Mountains in the Chukotka  
75 Autonomous Okrug of Far Eastern Russia (Bindi et al. 2009). The grain's

76 composition,  $\text{Al}_{63}\text{Cu}_{24}\text{Fe}_{13}$ , matched the composition of a synthetic quasicrystal  
77 made in the laboratory of An Pang Tsai twenty-two years earlier that was well  
78 known in the field for being the first known near-perfect, stable quasicrystal  
79 (Tsai et al. 1987). (Note, here and throughout this essay, compositions are  
80 expressed as atomic percentages.) However, Tsai's synthetic sample had been  
81 made by first isolating the three constituent elements under vacuum conditions,  
82 heating and combining them in the liquid state, and then slowly cooling the  
83 mixture over a period of days. The quasicrystal grain found in the museum  
84 sample was found in a complex assemblage that included diopside, forsterite,  
85 stishovite, and additional metallic phases and that appeared to have undergone  
86 some kind of violent mixing event.

87

#### 88 **THE FIRST NATURAL QUASICRYSTALS**

89 The interpretation of the quasicrystal grain was confounded by the metallic  
90 aluminum contained in it and in some of the crystal mineral phases in the rock  
91 sample because metallic aluminum forms under highly reducing conditions not  
92 normally found in nature. Another is the geochemically puzzling combination of  
93 metallic aluminum, a refractory lithophile, and copper, a moderately volatile  
94 siderophile or chalcophile. A plausible explanation was that the rock was slag,  
95 a by-product of some laboratory or industrial process.

96 The investigation to determine whether the sample was anthropogenic or  
97 natural reads like a cross between a detective novel and an adventure story.  
98 Based on a series of documents and personal encounters, the sample was  
99 traced back to a blue-green clay bed along the Listvenitovyi stream in the

100 Koryak Mountains, a region far from industrial processing (Bindi and Steinhardt  
101 2018 and references therein). At the same time, an intensive laboratory study  
102 revealed grains of quasicrystal included within stishovite, a polymorph of silicon  
103 dioxide that only forms at ultrahigh pressures (>10 Gpa), never approached in  
104 industrial processes (Bindi et al. 2012; Steinhardt and Bindi 2012). Next, a  
105 series of ion microprobe measurements of the oxygen isotope abundances in  
106 the silicates intergrown with the metal were found to match precisely the known  
107 abundances in carbonaceous chondrite meteorites (Bindi et al. 2012), which  
108 formed >4.5 billion years ago, coincident with the formation of the Solar System.

109 These results inspired a team of geologists from the US, Italy, and Russia  
110 to conduct an expedition to Chukotka in 2011 to search the clay bed along the  
111 Listvenitovyi stream for more samples and to explore the structural geology of  
112 the region (Steinhardt and Bindi 2012).

113 The risky and painstaking efforts yielded eight more grains with similar  
114 composition, unambiguously proving the suggestion based on circumstantial  
115 evidence that the museum sample traced back to the Listvenitovyi and  
116 providing important new evidence bearing on the issue of natural versus  
117 anthropogenic origin: (1) carbon-dating of material from the clay layers  
118 containing some of the samples showed them to be undisturbed for 6700-8000  
119 years (MacPherson et al. 2013; Andronicos et al. 2018); (2) the aluminum-  
120 copper metal alloys (crystals and quasicrystals) were found to be intimately  
121 intermixed with clear evidence of high pressure-induced chemical interactions  
122 reaching at least 5 GPa and 1200 °C sufficient to melt the Al-Cu bearing alloys,  
123 which then rapidly solidified into icosahedrite and other phases and consistent

124 with shock heating characteristic of meteoritic collisions (Lin et al. 2017); (3)  
125 noble gas measurements verified that the samples experienced strong shocks a  
126 few 100 Ma, reaching pressures > 5 GPa (Meier et al. 2018); (4) abundant  
127 petrographic and chemical evidence established that some metallic alloy grains  
128 (including quasicrystals) found in the samples pre-dated the shocks (Hollister et  
129 al. 2014; Lin et al. 2017). These exhaustive and diverse investigations provide  
130 consistent and independent evidence that the quasicrystal first discovered in the  
131 museum sample in 2009 and again in the samples recovered from the  
132 Listvenitovy is natural and from a common meteoritic source – the first  
133 quasicrystalline mineral to be discovered. The mineral is now officially named  
134 *icosahedrite* (referring to its icosahedral symmetry; Bindi et al. 2011).

135 The studies also led to the discovery of two other distinct natural  
136 quasicrystalline minerals. One ( $\text{Al}_{72}\text{Ni}_{24}\text{Fe}_5$ , now officially named *decagonite*;  
137 Bindi et al. 2015a, 2015b) is a so-called *decagonal phase* in which atoms form  
138 planes with quasiperiodic spacings with ten-fold symmetry and the planes are  
139 periodically spaced along a third direction. The other is another icosahedral  
140 phase of aluminum, copper and iron,  $\text{Al}_{62}\text{Cu}_{31}\text{Fe}_7$ , but with a significantly  
141 different ratio of compositions than icosahedrite; this third example represents  
142 the first quasicrystal found in nature and not predicted by laboratory  
143 experiments (Bindi et al. 2016).

144 After years of investigation, the case today is overwhelming that the family  
145 of three quasicrystals are extraterrestrials, having formed in and been brought  
146 to Earth in a CV3-carbonaceous chondrite meteorite (now officially named  
147 Khatyrka; MacPherson et al. 2013). With that, the status of quasicrystals

148 changed from their all being recent artificial materials made on Earth to their  
149 also being among the primal minerals of our Solar System. And that raises the  
150 question, how rare are quasicrystals in the Universe?

151 Since only three different quasicrystals with a combined mass of a few  
152 nanograms have been discovered in nature to date and there is presently no  
153 convincing theory to explain their formation, any attempt to answer the question  
154 is necessarily speculative. Nevertheless, with the hope that dreams today can  
155 inspire future discoveries tomorrow, we boldly proceed.

156

157 **MORE TO BE FOUND?**

158 In considering how common quasicrystals are in the Universe, it makes  
159 sense to consider first the three natural quasicrystalline minerals that have  
160 already been found.

161 A common feature is that all three are aluminum alloys. As it has already  
162 been noted, they and the crystalline aluminum alloy phases found in the  
163 Khatyrka meteorite were the only well-tested examples of natural minerals  
164 containing metallic aluminum at the time they were reported. No other  
165 meteorites or terrestrial samples containing quasicrystals have been reported  
166 since, although there also has not been any sort of systematic search for them.  
167 A further hitch in estimating their occurrence is that there is not yet a persuasive  
168 explanation for how they formed, particularly how the requisite reducing  
169 conditions were reached.

170 Even so, there is a simple empirical test that can be performed to provide  
171 some insight. Namely, if aluminum-containing quasicrystals are not exceedingly

172 rare, a search for metallic aluminum and aluminum alloys in other meteorites  
173 should yield positive results.

174 In fact, this has already occurred. Although no quasicrystals were found,  
175 Suttle et al. (2019) have recently reported a micrometeorite recovered from the  
176 Nubian Desert in Sudan with the same assemblage of aluminum, iron and  
177 copper as icosahedrite and with a morphology that is remarkably similar to  
178 Khatyrka. This example not only includes metallic aluminum, but also  
179 aluminum-copper alloys, a chemical combination that, we noted above, is  
180 another cosmochemical puzzle posed by the Khatyrka meteorite. Furthermore,  
181 Al-bearing alloys have been also found in the shocked Suizhou L6 chondrite  
182 (Xie and Chen 2016), in the Zhamanshin impact structure (Gornostaeva et al.  
183 2018), in the carbonaceous, diamond-bearing stone "Hypatia" (Belyanin et al.  
184 2018), and in the recently reported superconducting material from the  
185 Mundrabilla IAB iron meteorite and the GRA 95205 ureilite (Wampler et al.  
186 2020). These are the first indications that the Khatyrka quasicrystals may not be  
187 alone in the Solar System.

188 Since metallic aluminum exists in other meteorites, there may exist in our  
189 Solar System natural quasicrystals with different aluminum-bearing  
190 compositions than the three found in Khatyrka. Since 1982, nearly one-hundred  
191 combinations of elements combined with aluminum have been synthesized in  
192 the laboratory (Steurer and Deloudi 2009). The reason for so many aluminum-  
193 bearing quasicrystals is largely historical. The Shechtman et al. (1984) sample  
194 was an alloy of aluminum and manganese, and initial attempts at synthesizing  
195 other quasicrystals were made by metallurgists familiar with aluminum who

196 attempted combinations with other elements. For example, icosahedral AlFeSi  
197 and AlMnSi phases are known synthetic examples (Steurer and Deloudi 2009).

198       There is no reason to confine searches to aluminum-bearing meteorites,  
199 though. Many have been discovered in the laboratory that do not contain  
200 metallic aluminum (Steurer and Deloudi 2009). Furthermore, as exemplified by  
201 the third of the Khatyrka quasicrystals, nature may have formed examples that  
202 have been missed in the standard materials laboratory. This could occur  
203 because there may exist conditions of temperature and pressure in space that  
204 are difficult to reproduce in an ordinary laboratory, as exemplified by  
205 hypervelocity impact shock (Asimow et al. 2016) or diamond anvil cell (Stagno  
206 et al. 2014, 2015) experiments.

207

#### 208 **TERRESTRIAL QUASICRYSTALS?**

209       Although the only natural quasicrystals known today are extraterrestrials  
210 formed in deep space, it is worth noting that there are a number of terrestrial  
211 intermetallic minerals recently described in the literature that suggest the  
212 possibility of quasicrystalline minerals forming on the Earth or other terrestrial  
213 planets in the Universe. One example is the small metallic inclusions in the  
214 enigmatic diamonds from Tolbachik volcano (Galimov et al. 2020). The  
215 chemistry of some of these alloys is close to  $Mn_3Ni_2Si$ , a composition range that  
216 contains octagonal and/or dodecagonal quasicrystals (e.g., Kuo et al. 1986).  
217 Another interesting finding reported by Griffin et al. (2020) is grains of native  
218 vanadium with up to 15 wt% of Al trapped as melts in crystals of hibonite  
219 ( $CaAl_{12}O_{19}$ ), grossite ( $CaAl_4O_7$ ) and Mg-Al-V spinel in a super-reduced  
220 magmatic system near the crust-mantle boundary in northern Israel. The

221 occurrence is significant because V-based quasicrystals are known to exist  
222 (Skinner et al. 1988; Chen et al. 2010). Even more fascinating is the case of  
223 Mn-silicides. Iwami and Ishimasa (2015) have described dodecagonal  
224 quasicrystalline structures in Mn-rich quaternary alloys containing 5.5 (or 7.5)  
225 at.% Cr, 5.0 at.% Ni and 17.5 at.% Si. Such a composition roughly corresponds  
226 to the simplified stoichiometry  $Mn_5Si_2$ , neglecting the minor Cr and Ni that  
227 replace Si in the structure. Notably, two minerals with a composition close to  
228 this phase have been reported in nature: mavlyanovite,  $Mn_5Si_3$  (found in  
229 lamproitic rocks associated with a diamond-bearing diatreme; Yusupov et al.  
230 2013), and unnamed  $Mn_7Si_2$  (found as inclusions of unaltered glass in volcanic  
231 breccias; Tatarintsev et al. 1990). Both minerals contain a substantial amount of  
232 Fe (in the range 6.5-8.7 wt%) that is absent in the Mn-based quasicrystals.  
233 However, the Fe content in the minerals roughly corresponds to the (Ni+Cr)  
234 abundances in the synthetic quasicrystals. Thus, given the very similar role of  
235 transition elements in the structure of quasicrystals (Steurer and Deloudi 2009;  
236 Steurer 2018), the compounds are quite comparable.

237 It would be important to study in more detail these occurrences since they  
238 may incorporate compositions spanning a wide range of Mn/Si ratios. This could  
239 be the source of the first terrestrial natural quasicrystal and the first mineral with  
240 dodecagonal symmetry.

241

## 242 **HOW RARE ARE QUASICRYSTALS IN THE UNIVERSE?**

243 Icosahedrite and the other two Khatyrka quasicrystals, the two certain  
244 natural quasicrystals known today, formed naturally in CV3 chondrites that

245 comprised the primordial material of our solar system. Their discovery not only  
246 proved that quasicrystals can form outside the laboratory, but also that they can  
247 form in space far outside a planetary environment. Especially eye-opening is  
248 that they were discovered in complex assemblages that include a mash of  
249 oxides and silicates, conditions that were thought be impossible for quasicrystal  
250 formation based on previous laboratory experience. How common might they  
251 be in the Universe overall?

252       Since quasicrystals have only been reported in one CV3 chondrite to date,  
253 one cannot reach quantitative conclusions about their mass abundance  
254 compared to other minerals throughout the Universe. At the same time, there  
255 are some reasonable inferences one can draw. First, even though the process  
256 that formed Khatyrka is not known, it definitely did occur, and it is therefore  
257 unlikely that Khatyrka is the unique meteorite containing quasicrystals.

258       No examples were reported previously, but that may have a logical  
259 explanation. Few meteorites have been studied with the same exhaustive  
260 microscopic detail (down to nanometer scale) as Khatyrka. Even if they had,  
261 there is a good chance that, until the Khatyrka case became firmly established –  
262 which is only in the last few years – small quasicrystal grains might have been  
263 missed or misidentified as crystals.

264       The history of synthetic quasicrystals provides a pertinent lesson.  
265 Synthetic quasicrystals were made in the laboratory and were even  
266 incorporated in commercial alloys decades before the notion of quasicrystals  
267 was introduced or the first examples were reported. Their presence was not  
268 recognized, though, probably because of the overwhelmingly prevalent view

269 that matter with non-crystallographic symmetries is physically impossible. Only  
270 after the first examples of synthetic examples were established were the earlier  
271 examples noticed. Similarly, the conventional wisdom has been that metallic  
272 aluminum and aluminum-copper alloys are impossible as natural crystalline  
273 minerals. Perhaps that is why counterexamples were not found earlier. In fact,  
274 since the discovery of icosahedrite, two other types of quasicrystals have been  
275 discovered in Khatyrka remnants. Also, as described above, there have already  
276 been found other examples of meteorites with the essential ingredients, metallic  
277 aluminum and aluminum-copper crystal grains. As the scientific community  
278 becomes more familiar with these now-proven counterexamples to the  
279 conventional wisdom, it may turn out that they are not as uncommon as they  
280 seem now.

281 Even if quasicrystals are rare among minerals today, there are good  
282 reasons to believe that, in the distant past, they were much more common than  
283 most natural minerals known today. In our Solar System's pre-solar phase, only  
284 about a dozen different minerals existed according to Hazen's (2008) analysis  
285 of mineral evolution. During the first stage of planetary accretion (>4.56 Ga),  
286 characterized by the formation of chondrites like Khatyrka, only sixty different  
287 minerals existed. If the quasicrystals formed as a result of impact collision  
288 characteristic of the next phase of planetary accretion (between 4.55 and 4.56  
289 Ga), they would still among the first 250 minerals to have formed and they  
290 would be found in other stellar systems. These are, in fact, the leading  
291 formation theories based on the compendium of studies of Khatyrka described  
292 above. Hence, there are good reasons to believe that quasicrystals might well

293 be in this very rare class of primal minerals. And since our Sun appears to be  
294 an average Population II star with an average surrounding Solar System within  
295 an average galaxy in the Universe, a plausible extrapolation is that  
296 quasicrystals are ubiquitous, among the first minerals to form throughout the  
297 Universe, even if they have always been volumetrically rare.

298 Compare that to most of the minerals in the International Mineralogical  
299 Association catalog which first formed on Earth after the complete accretion of  
300 the planet and the oxygenation of its atmospheres. These minerals are common  
301 on Earth today, but likely much rarer when averaging over the Universe.

302 Another indicator comes from a series of “collider experiments” that  
303 smashed together combinations of crystalline materials (thought to be present  
304 in the pristine meteorite) in order to simulate the possible formation of  
305 icosahedrite from high impact collisions of asteroids (Asimow et al. 2016;  
306 Oppenheim 2017a, 2017b; Hu et al. 2020). Not only did the experiments  
307 succeed in producing icosahedrite and decagonite, but they demonstrated that,  
308 even at relatively low impact velocities, it is possible to produce a variety of  
309 quasicrystal alloys composed of four or more elements that had not been known  
310 before, including reproducing the formation conditions to form icosahedral  
311  $\text{Al}_{62}\text{Cu}_{31}\text{Fe}_7$ , the third natural quasicrystal found in the Khatyrka meteorite (Hu et  
312 al. 2020). These experiments suggest that increasing the number of elemental  
313 components favors quasicrystal formation, as explained by Oppenheim et al.  
314 (2017a) on the basis of the Hume-Rothery rules and the cluster line approach.  
315 Since previous quasicrystal synthesis studies have been confined for the most  
316 part to two or three elements, it is a possible that a wide range of quasicrystals

317 have been missed that could have naturally formed in the countless collisions  
318 between asteroids that have occurred throughout the Universe.

319 All the studies mentioned so far focus on metallic alloys, but future  
320 searches for natural quasicrystals may reveal the existence of non-metallic  
321 quasicrystal minerals that are even more common in the universe (and that may  
322 have important applications). It was indeed recently shown (Förster et al. 2013)  
323 that oxygen-bearing quasicrystals can exist. On a Pt(111) substrate with 3-fold  
324 symmetry, the perovskite barium titanate BaTiO<sub>3</sub> was found to form a high-  
325 temperature interface-driven structure with dodecagonal symmetry. This  
326 example of interface-driven formation of ultrathin quasicrystals from a typical  
327 periodic perovskite oxide potentially extends the quasicrystal quest in nature  
328 enormously given the abundance of natural perovskite-type structures.

329 A key advance in understanding the abundance of quasicrystals in the  
330 Universe will be through the direct investigation of asteroids *in situ*; that is, in  
331 space. The first efforts of this type have already begun, as evidenced by the  
332 successful touchdown of Hyabusa2 on the near-Earth asteroid Ryugu in July  
333 2019. Spurred by both a scientific desire to study the composition of asteroids  
334 and the prospect of asteroid mining, this technology will certainly improve. In an  
335 isotopic study of the noble gas composition of the Khatyrka olivine grains (Meier  
336 et al. 2018), a determination of the cosmic ray exposure age of the meteorite  
337 combined with reflectance data was used to identify a possible parent body, the  
338 large K-type asteroid 89 Julia. Although the prospect of a human-led expedition  
339 to explore 89 Julia and search for quasicrystals seems like a fantasy today, so  
340 did the notion of quasicrystals before 1984, or the notion of natural quasicrystals

341 before 2009, or a successful expedition to recover natural quasicrystals from  
342 the Listvenitovyi stream in 2011.

343         Stepping back from our speculations, we must admit that we really do not  
344 know whether quasicrystals are rare in the universe, but the discovery of natural  
345 quasicrystals forces us to set aside the historic arguments that suggested they  
346 must be. Scientists will learn more as they conduct further searches for natural  
347 quasicrystals and perform the experiments they inspire.

348

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